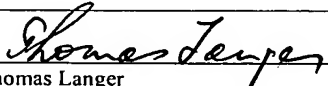


FORM PTO-1390 (REV02-2005)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET #: 5367-159PUS	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371				U.S. APPLICATION NO. (If known, see 37 CFR 1.5)	
				10/529625	
INTERNATIONAL APPLICATION NO. PCT/DE2003/003157		INTERNATIONAL FILING DATE 23 September 2003		PRIORITY DATE CLAIMED 30 September 2002, 19 November 2002	
TITLE OF INVENTION Radiation-Emitting Semiconductor Component and Method for the Production thereof					
APPLICANT(S) FOR DO/EO/US Johannes BAUR; Dominik EISERT; Michael FEHRER; Berthold HAHN; Volker HÄRLE					
<p>Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <ol style="list-style-type: none"> <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371 <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). <input checked="" type="checkbox"/> The US has been elected (Article 31). <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). <input checked="" type="checkbox"/> has been transmitted by the International Bureau. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US) <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). <ol style="list-style-type: none"> <input checked="" type="checkbox"/> is attached hereto. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). <input type="checkbox"/> have been transmitted by the International Bureau. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. <input type="checkbox"/> have not been made and will not be made. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). <p>Items 11. to 20. Below concern other document(s) or information included:</p> <ol style="list-style-type: none"> <input checked="" type="checkbox"/> Two Information Disclosure Statements under 37 CFR 1.97 and 1.98. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. <input checked="" type="checkbox"/> A preliminary amendment. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. <input type="checkbox"/> A substitute specification. <input type="checkbox"/> A power of attorney and/or address letter. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825 <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4). <input type="checkbox"/> A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). <input checked="" type="checkbox"/> Other items or information: (<i>specify</i>): PCT/IB/308, Cover page of Published PCT appln., Int'l Search Report, Request for Publication of Assignment Information 					

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)		INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER	
10/529625		PCT/DE2003/003157		5367-159PUS	
The following fees are submitted:					
21. <input checked="" type="checkbox"/> Basic National Fee (37 CFR 1.492(a)(1)-(5))				\$	300
22. <input checked="" type="checkbox"/> Examination Fee				\$	200
If International preliminary examination report is prepared by USPTO and all claims satisfy the provisions of PCT article 33(1)-(4)				\$100	
All other situations				\$200	
23. <input checked="" type="checkbox"/> Search Fee				\$	500
Search fee (37 CFR 1.445(a)(2)) has been paid on the International application to the USPTO as an International Searching Authority				\$100	
International Search Report prepared and provided to the Office				\$400	
All other situations				\$500	
= ENTER APPROPRIATE BASIC FEE AMOUNT (total of 21, 22 and 23)				\$	1000
[] Application Fee for specification and drawing filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of additional 50 or fraction thereof (round up to a whole number)		RATE	
-100 =	/50 =			x \$250	\$
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).					\$
Claims	Number Filed	Number Extra	RATE		
Total Claims	25 - 20 =	5	x \$ 50.00		\$ 250
Independent Claims	1 - 3 =	0	x \$200.00		\$
Multiple dependent claim(s) (if applicable)			+ \$360.00		\$
TOTAL OF ABOVE					\$ 1250
CALCULATIONS =					
[] Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.					\$
SUBTOTAL =					\$ 1250
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).					\$
+ TOTAL NATIONAL FEE					\$ 1250
=					
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by the appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property					\$
+ TOTAL FEES ENCLOSED					\$1250
Amount to be refunded:					\$
Amount to be charged:					\$1250
a. [] A check in the amount of \$, to cover the above fees is enclosed.					
b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. 03-2412 in the amount of \$1250.00 to cover the above fees. A duplicate copy of this sheet is enclosed.					
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 03-2412. A duplicate copy of this sheet is enclosed.					
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO: Thomas Langer Cohen, Pontani, Lieberman & Pavane 551 Fifth Avenue, Suite 1210 New York, New York 10176			 Thomas Langer Registration Number: 27,264 March 30, 2005 Tel: (212) 687-2770		

Attorney Docket # 5367-159PUS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re National Phase PCT Application of
Johannes BAUR et al.
International Application No.: PCT/DE2003/003157
International Filing Date: 23 September 2003
For: Radiation-Emitting Semiconductor Component
and Method for the Production thereof

REQUEST FOR PUBLICATION OF ASSIGNMENT INFORMATION

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

S I R:

It is requested that the following assignment information be published as part of
the 18 month publication of the present application:

Osram Opto Semiconductors GmbH
Wernerwerkstrasse 2
93049 Regensburg, Germany

Respectfully submitted,
COHEN, PONTANI, LIEBERMAN & PAVANE

By: Thomas Langer
Thomas Langer
Reg. No. 27,264
551 Fifth Avenue, Suite 1210
New York, N.Y. 10176
(212) 687-2770

30 March 2005

Attorney Docket # 5367-159PUS

By Express Mail #EV582747232US

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re National Phase PCT Application of

Johannes BAUR et al.

Int'l PCT Appln. No.: PCT/DE2003/003157

Int'l Filing Date: 23 September 2003

For: Radiation-Emitting Semiconductor
Component and Method for the
Production thereof

Check box if applicable:

☐ DUPLICATE

**GENERAL AUTHORIZATION FOR PAYMENT OF FEES
AND PETITIONS FOR EXTENSIONS OF TIME**

Submit an original and a duplicate for fee processing

Mail Stop PCT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 03-2412

- ☒ Any filing fees required under 37 CFR §1.16.
- ☒ Any patent application processing fees under 37 CFR §1.17 not otherwise paid by check.
- ☒ The issue fee set in 37 CFR 1.18 at 3 months from mailing of the Notice of Allowance, pursuant to 37 CFR 1.311 (b) provided the fee has not already been paid by check.
- ☒ Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted,
COHEN, PONTANI, LIEBERMAN & PAVANE

By Thomas Langer
Thomas Langer
Reg. No. 27,264
551 Fifth Avenue, Suite 1210
New York, New York 10176
(212) 687-2770

Dated: March 30, 2005